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Attorney Docket No. 52003.8001.US01

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Schultz, *et al.*

APPLICATION No.: 09/740,615

FILED: December 18, 2000

FOR: **PLASMON RESONANT PARTICLES,
METHODS AND APPARATUS**

EXAMINER: Ann Y. Lam

ART UNIT: 1641

CONF. No: 1773

**Information Disclosure Statement After First Office Action but
Before Final Action or Notice of Allowance – 37 C.F.R. § 1.97(c)**

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Timing of Submission

The information transmitted herewith is being filed *after* three months of the filing date of this application or after the mailing date of the first Office action on the merits, whichever occurred last, but *before* the mailing date of either a final action under 37 C.F.R. § 1.113 or a Notice of Allowance under 37 C.F.R. § 1.311, whichever occurs first. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

- ☒ Copies of the following references can be found in parent U.S. Application No.: 09/027,048
- ☒ All cited references

3. Effect of Information Disclosure Statement (37 C.F.R. § 1.97(h))

This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the

examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.

4. Fee Payment (37 C.F.R. § 1.97(c)) or Certification (37 C.F.R. § 1.97(e))

☒ Applicant submits that no fee is due in light of the following certification under 37 C.F.R. § 1.97(e):

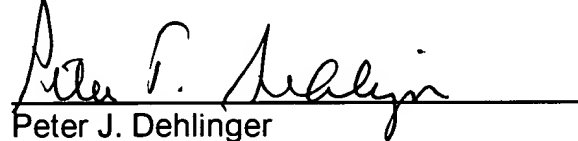
☒ In accordance with 37 C.F.R. § 1.97(e)(1), the undersigned hereby states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to this filing of this statement

5. Patent Term Adjustment (37 C.F.R. § 1.704(d))

☐ The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. § 1.704(d).

Date: July 29th 2005

Respectfully submitted,
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INFORMATION DISCLOSURE CITATION

Form PTO-1449 (Modified)

(Use several sheets if necessary)

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APPLICANT

Schultz, *et al.*

FILING DATE

December 18, 2000

GROUP


1641

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	3,856,398	12/24/74	Taylor			
	4,099,854	07/11/78	Decker, <i>et al.</i>			
	4,313,734	02/02/82	Leuvering			
	4,416,998	11/22/83	Adams, <i>et al.</i>			
	4,481,091	11/06/84	Brus, <i>et al.</i>			
	4,877,647	10/31/89	Klabunde			
	4,979,821	12/25/90	Schutt, <i>et al.</i>			
	5,023,139	06/11/91	Birnboim, <i>et al.</i>			
	5,025,147	06/18/91	Dürig, <i>et al.</i>			
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	5,599,668	02/04/97	Stimpson, <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
	WO 97/40181	10/30/97	PCT				

INFORMATION DISCLOSURE CITATION Form PTO-1449 (Modified) (Use several sheets if necessary)		ATTY. DOCKET NO. 52003-8001.US01	SERIAL NO. 09/740,615
		APPLICANT Schultz, <i>et al.</i>	
		FILING DATE December 18, 2000	GROUP 1641
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
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	Krenn, J.R., <i>et al.</i> , <i>Appl. Phys. A</i> , 61:541-545 (1995).		
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	Nie, S., and S.R. Emory, <i>Science</i> , 275(21):1102-1106 (1997).		
	Sammons, D.W., <i>et al.</i> , <i>Electrophoresis</i> , 2:135-141 (1981).		
	Silva, Thomas J., "A Scanning Near-Field Optical Microscope with Magneto-Optic Kerr Effect Contrast for the Imaging of Magnetic Domains with 200 Å Resolution," A dissertation, University of California, San Diego 1994.		
	Wessel, John, <i>J. Opt. Soc. Am. B</i> , 2(9):1538-1541 (1985).		
EXAMINER		DATE CONSIDERED	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			